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01/23/02  
1135 U.S. PTO

Express Mail No. EL 828015157US

Docket No. 23679-7004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: William B. Paxton, et al.  
Appln. No.: New Application  
Filing Date: Herewith  
Title: OPTICAL CONTROLLER

10/055636  
10/055636  
01/23/02

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
(for nonprovisional applications under 37 CFR § 1.53(b))

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10**  
I, Pam Pascual, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on January 23, 2002, Express Mail Label No. EL 828015157US and is addressed to the Commissioner for Patents, Washington, D.C., 20231.  
Pam Pascual  
Signature of Person Mailing

Commissioner for Patents  
**BOX PATENT APPLICATIONS**  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

**I. Elements of the Application**

- ☒ Application [ 1 ] page(s) of Cover Sheet  
[ 19 ] page(s) of Specification  
[ 7 ] page(s) of Claims (\_\_\_ claims)  
[ 1 ] page(s) of Abstract  
[ 6 ] sheets of Drawings [ ] Formal [ X ] Informal
- ☒ Declaration and Power of Attorney [ 2 ] page(s)  
[ ] Unexecuted [ X ] Executed [ ] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:  
[ ] page(s) of Sequence Listing (paper copy)  
[ ] disk(s) containing Sequence Listing (computer readable copy)  
[ ] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix \_\_\_\_\_

**II. Claim for U.S. Priority**

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part  
of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_, (35 USC § 120)
- ☐ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial  
No. 60/\_\_\_\_\_ filed \_\_\_\_\_. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application  
filed herewith and is herein incorporated by reference in its entirety.

**III. Claim for Foreign Priority**

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. \_\_\_\_\_  
filed \_\_\_\_\_.
- ☐ Certified Copy of Priority Document [ ] page(s)
- ☐ English Translation of Priority Document [ ] page(s)

## IV. Accompanying Documents

- ☒ Assignment Papers [ 1 ] page(s) of Recordation Cover Sheet [ 2 ] page(s) of Assignment
- ☐ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_.
- ☒ Information Disclosure Statement [ 1 ] page(s) of PTO-1449 [ 13 ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☒ Other **Petition for Expedited Handling of Foreign Filing License** (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

## V. Fee Calculation

<input type="checkbox"/> The following fees are submitted:				CALCULATIONS	
		OTHER THAN SMALL ENTITY	SMALL ENTITY		
BASIC NATIONAL FILING FEE		\$ 740.00	\$ 370.00	\$740.00	
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	35- 20 =	15	× \$18.00	× \$ 9.00	\$270.00
Independent claims	6- 3 =	3	× \$84.00	× \$42.00	\$252.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$280.00	\$140.00	\$0.00	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
1 (Numbers of Applications) × \$40.00				\$40.00	
OTHER FEES <b>PETITION FOR EXPEDITED HANDLING OF FOREIGN FILING LICENSE</b> (specify)				\$130.00	
<b>TOTAL FEES =</b>				<b>\$1,432.00</b>	

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1193, Docket No. **23679-7004**, in the amount of **\$1,294.00** to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. **23679-7004**  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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DATE

David G. Beck  
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37,776  
 REGISTRATION NUMBER

RECEIVED: 01/27/02